

# 1 **The Effects of Aggressive Mitigation on Steric** 2 **Sea Level Rise and Sea Ice Changes**

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31 **Abstract** With an increasing political focus on limiting global warming to less than 2°C above pre-  
32 industrial levels it is vital to understand the consequences of these targets on key parts of the  
33 climate system. Here, we focus on changes in sea level and sea ice, comparing 21<sup>st</sup> century  
34 projections with increased greenhouse gas concentrations (using the mid-range IPCC A1B  
35 emissions scenario) with those under a mitigation scenario with large reductions in emissions (the  
36 E1 scenario).

37 At the end of the 21<sup>st</sup> century, the global mean steric sea level rise is reduced by about a third in  
38 the mitigation scenario compared with the A1B scenario. Changes in surface air temperature are  
39 found to be poorly correlated with steric sea level changes. While the projected decreases in sea  
40 ice extent during the first half of the 21<sup>st</sup> century are independent of the season or scenario,  
41 especially in the Arctic, the seasonal cycle of sea ice extent is amplified. By the end of the century  
42 the Arctic becomes sea ice free in September in the A1B scenario in most models. In the  
43 mitigation scenario the ice does not disappear in the majority of models, but is reduced by 42 % of  
44 the present September extent. Results for Antarctic sea ice changes reveal large initial biases in the  
45 models and a significant correlation between projected changes and the initial extent. This latter  
46 result highlights the necessity for further refinements in Antarctic sea ice modelling for more  
47 reliable projections of future sea ice.

48 **Keywords :** Climate – Projections – Stabilization – Sea level Rise – Sea Ice - Multi-model –  
49 ENSEMBLES – CMIP5 – Mitigation

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## 51 **1) Introduction**

52 Climate change and its adverse effects are of global concern. Article 2 of the  
53 United Nations Framework Convention on Climate Change (UNFCCC) states that  
54 the ultimate objective is the “stabilization of greenhouse gas (GHG)  
55 concentrations in the atmosphere at a level that would prevent dangerous  
56 anthropogenic interference with the climate system” (UNFCCC 1992).  
57 Furthermore, as part of this aim, it is now widely accepted that global mean  
58 warming needs to be limited to 2°C or less compared with the pre-industrial era  
59 (as recognized in the Cancun Agreements and the Copenhagen Accord). In order  
60 to inform policy makers as well as the general public, one of the goals of climate  
61 research is to investigate future scenarios for the 21<sup>st</sup> century that might achieve  
62 the goal of limiting global warming to 2°C.

63 Within the ENSEMBLES project (Hewitt and Griggs 2004) a mitigation scenario  
64 named E1 was designed that would result in a global mean surface air temperature  
65 increase of less than 2°C (Lowe et al. 2009). This scenario complements the  
66 representative concentration pathways (RCPs) of the ongoing Coupled Model  
67 Intercomparison Project Phase 5 (CMIP5; Taylor et al. 2009).

68 While there is a strong focus on the global average temperature rise under  
69 mitigation, less attention has been paid to one of the most critical aspects of a  
70 warming climate: that is, sea level change due to thermal expansion of the oceans  
71 and the melting of land ice (ice sheets and glaciers). Sea levels will adjust to  
72 radiative forcing on time scales up to millennia. One of the consequences of a  
73 significant rise in sea level is that millions of additional people, mostly in highly  
74 populated coastal areas of Asia and Africa, as well as residents of small islands,  
75 are projected to experience floods every year by the 2080s (Nicholls et al. 2007).  
76 Furthermore, owing to the slow response of the ocean to changes in the radiative  
77 forcing, mitigation alone will not be able to negate all impacts, and some  
78 adaptation will be needed (Nicholls and Lowe 2004). Consequently, the effect of  
79 mitigation on sea level rise is expected to be weaker than for other climate  
80 parameters such as surface air temperature (e.g. Lowe et al. 2006; Meehl et al.  
81 2012).

82 Sea level rise occurs owing to thermal expansion of the ocean waters and melting  
83 of land-based ice. The models used in the present study do not include simulations  
84 of melting of land ice. In this study, we focus on thermal expansion and its effect  
85 on sea level rise and refer to it as “steric” sea level rise for simplicity, noting that  
86 halosteric effects have little impact on global average sea levels. Very briefly, we  
87 consider another aspect of the longer-term potential contribution to sea level rise  
88 from complete melting of the Greenland ice sheet (GIS). Gregory and Huybrechts  
89 (2006) and Robinson et al. (2012) have estimated the threshold of global mean  
90 surface temperature increase that could give eventual de-glaciation of the GIS,  
91 over subsequent millennia. Based on the global mean near surface temperature  
92 projections, we comment on the likelihood of exceeding such a threshold under  
93 the two scenarios.

94 Another important consideration is the effect of mitigation on changes in sea ice.  
95 The Arctic is particularly sensitive to warming; sea ice changes, especially during  
96 summer, may lead to a strong positive feedback on temperature, which will have  
97 many regional consequences, for example on biodiversity, tourism, and new  
98 shipping routes.

99 Several studies have attempted to provide information on the climate response to  
100 mitigation scenarios. For instance, the ECHAM5-MPIOM model was used in an  
101 idealized experimental setup in which well-mixed GHG concentrations for the  
102 year 2020 (from the A1B scenario) were prescribed. In addition, the model was  
103 forced with fixed stratospheric ozone levels and sulfate loading from the year  
104 2100 of the A1B scenario. The resulting warming did not exceed 2°C above the  
105 pre-industrial era (May 2008). The typical features of other climate scenarios were  
106 simulated in this experiment, including the amplified Northern Hemisphere high  
107 latitude warming accompanied by a marked reduction of the sea-ice cover, which  
108 appears remarkably strong with regard to the magnitude of global mean warming  
109 (May 2008).

110 Washington et al. (2009) used the Community Climate System Model to estimate  
111 aspects of the effect of mitigation on climate change using a low emission  
112 mitigation scenario (Clarke et al. 2007). They found a reduction of global mean  
113 warming of 1.2°C (with about 2.2°C global mean warming by 2080-2099 relative  
114 to 1980-1999 without mitigation and about 1°C in the mitigation scenario), and an

115 avoided thermal expansion of 8 cm (with 22 cm thermal expansion without  
116 mitigation and 14 cm in the mitigation case). Moreover, about 50 % of the Arctic  
117 present day sea ice extent, i.e. four million square kilometers, was preserved in  
118 their mitigation simulations.

119 Employing the GISS climate model, Hansen et al. (2007) studied to what extent  
120 dangerous interference with the climate system may be realistically avoided. In  
121 their regional analysis of the Arctic they find a clear distinction between the A1B  
122 scenario and the “alternative” scenario (Hansen and Sato 2004) that leads to a  
123 temperature rise of about 1°C relative to today. They point out that a warming of  
124 less than 1°C (relative to today) does not unleash a strong positive feedback, while  
125 in the “business-as-usual” scenarios warming would extend far outside the range  
126 of recent interglacial periods, thereby raising the possibility of much larger  
127 feedbacks such as destabilization of methane hydrates.

128 Building on the work by Hansen et al. (2007), May (2008), and Washington et al.  
129 (2009) this study investigates the possibility of reducing dangerous anthropogenic  
130 interference with the climate system by analyzing results from the ENSEMBLES  
131 multi-model experiments for the period 1860-2100. By comparing results for the  
132 A1B scenario, which assumes no mitigation measures, with the E1 scenario,  
133 which includes aggressive mitigation measures (further details are given in section  
134 2.2), the possible effects of mitigation on the climate system can be evaluated. An  
135 analysis of the ENSEMBLES experiments by Johns et al. (2011) focused on  
136 global mean temperature and precipitation changes as well as on the implied  
137 carbon emissions. Our analysis focuses on two additional key aspects of climate  
138 change: steric sea level rise and sea ice change.

139 The paper is structured as followed. A brief description of the models employed in  
140 this study and of the scenario design is given in Section 2. Section 3 focuses on  
141 steric sea level change in the two scenarios. In Section 4 results on seasonal sea  
142 ice changes are presented. Finally, the results are discussed and conclusions  
143 drawn (Section 5).

## 144 **2) Models and Experimental Design**

### 145 **2.1) Models**

146 Results presented in this study are based on the multi-model experiment from  
147 1860 to 2100 within ENSEMBLES. The participating atmosphere-ocean general  
148 circulation models (AOGCMs) and Earth System models are improved or  
149 extended versions of those that contributed to the WCRP CMIP3 project that  
150 contributed to the Working Group I contribution to IPCC Fourth Assessment  
151 Report (Solomon et al. 2007), henceforth referred to as AR4. All models include  
152 an ocean and an atmospheric component as well as a sea-ice model. Only the  
153 EGMAM+ and HadCM3C models use flux adjustment. A detailed description of  
154 the models is given by Johns et al. (2011); here, the main components of the  
155 models are summarized.

- 156 • The HadGEM2-AO model is based on the HadGEM1 model used in IPCC  
157 AR4, described by Johns et al. (2006), but contains several improvements  
158 and modifications (Collins et al. 2011b). For steric expansion model drift  
159 is removed by taking into account the linear trend in the control  
160 simulation.
- 161 • The HadCM3C model is a modified configuration of the HadCM3 model  
162 (Gordon et al. 2000) as used in IPCC AR4, but with a number of  
163 differences that are described in Collins et al. (2011a). It is run with flux  
164 adjustment. Additionally, a fully interactive land surface model (Essery et  
165 al. 2003), the TRIFFID dynamic vegetation model (Cox 2001), and an  
166 ocean carbon cycle model (Palmer and Totterdell 2001) are also included.  
167 For steric expansion model drift is removed by taking into account the  
168 linear trend in the control simulation.
- 169 • In the AOGCM IPSL-CM4 (Marti et al. 2010) the LMDZ4 atmosphere  
170 (Hourdin et al. 2006), the ORCHIDEE land and vegetation (Krinner et al.  
171 2005), the OPA8.2 ocean (Madec et al. 1999) and LIM sea ice  
172 (Timmermann et al. 2005) are coupled by the OASIS3 coupler (Valcke  
173 2006). This model is very close to the one used in CMIP3 (Dufresne et al.  
174 2005), but with increased horizontal resolution.
- 175 • ECHAM5-C is a version of the Max Planck Institute for Meteorology  
176 Earth System Model in a low resolution, consisting of the atmospheric

177 component ECHAM5 (Roeckner et al. 2006) including the carbon cycle  
 178 by the modular land surface scheme JSBACH (Raddatz et al. 2007) and  
 179 the oceanic component MPI-OM (Marsland et al. 2003) extended by the  
 180 ocean biochemistry model HAMOCC5 (Maier-Reimer et al. 2005).

- 181 • The AOGCM EGMAM (Huebener et al. 2007) is an extended version of  
 182 ECHO-G (Legutke and Voss 1999) including the atmosphere and land  
 183 model ECHAM4 (Roeckner et al. 1996) extended to the 0.01hPa level and  
 184 the ocean model HOPE-G (Wolff et al. 1997). EGMAM+ is further  
 185 extended by an updated 3D-ozone forcing and a sulfur aerosol transport  
 186 scheme. The model employs flux correction for heat and freshwater fluxes,  
 187 which is constant in time. For sea level changes and oceanic heat uptake  
 188 the linear trend of the pre-industrial control simulation is subtracted as a  
 189 drift correction.
- 190 • The AOGCM CNRM-CM3.3 is an improved and updated version of  
 191 CNRM-CM3.1 AR4 model (Salas-Mélia et al. 2005). It is based on the  
 192 coupled core formed by the atmosphere model ARPEGE-Climat (Déqué et  
 193 al. 1994; Royer et al. 2002; Gibelin and Déqué 2003) and the ocean model  
 194 OPA8.1. ARPEGE-Climat includes stratospheric ozone. In the calculation  
 195 of sea level changes the linear trend of the pre-industrial control  
 196 simulation is subtracted.
- 197 • The AOGCM BCM2 (Otterå et al. 2009) is an updated version of BCM  
 198 (Furevik et al. 2003). The atmospheric component is based on ARPEGE-  
 199 Climat3 (Déqué et al. 1994) and the oceanic component is MICOM (Bleck  
 200 and Smith 1990; Bleck et al. 1992).
- 201 • The BCM-C model (Tjiputra et al. 2010) is an extension of BCM2. It also  
 202 includes the Lund-Potsdam-Jena model (LPJ) (Sitch et al. 2003) for  
 203 terrestrial carbon and the HAMOCC5.1 (Maier-Reimer 1993; Maier-  
 204 Reimer et al. 2005) for oceanic biochemistry.

205 More details on the sea ice components included in the coupled models are given  
 206 in Table 1.

Model	Dynamics	Number of ice thickness categories	Number of vertical levels	Reference	Number of pairs of simulations in sea level/sea
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					<b>ice analysis</b>
BCM2	EVP	4	4	Salas-Mélia (2002)	1/1
BCM-C	VP	1	1	Drange and Simonsen (1996)	1/1
CNRM-CM3.3	EVP	8	10	Salas-Mélia (2002)	1/1
ECHAM5-C	VP	1	1	Marsland et al. (2003)	3/3
EGMAM+	VP	1	1	Wolff et al. (1997)	1/1
HadCM3C	Ice advected by ocean currents	1	1	Gregory and Lowe (2000)	1/1
HadGEM2-AO	EVP	5	1	McLaren et al. (2006)	1/2
IPSL-CM4	VP	1	2	Fichefet and Morales-Maqueda (1997) Fichefet and Morales-Maqueda (1999)	-/3

207 Table 1: Overview of sea ice model details and references and number of pairs of simulations used  
208 for the analyses. Here VP and EVP respectively stand for Viscous-Plastic (Hibler 1979) and  
209 Elastic Viscous-Plastic rheologies (Hunke and Dukowicz 1997). In the fourth column, the number  
210 of vertical levels concerns only the ice part of sea ice-snow slabs; all models include one layer of  
211 snow.

## 212 **2.2) Climate Change Scenarios**

213 For the purpose of analyzing the impact of mitigation on sea ice changes and sea  
214 level rise we compare results from simulations using two greenhouse gas  
215 concentration pathway scenarios, SRES A1B (Nakicenovic et al. 2000) and E1  
216 (Lowe et al. 2009). The A1B scenario assumes high-economic growth, strong  
217 globalization and rapid technology development without any climate-change  
218 mitigation policies, leading to a medium-high emission scenario within the group  
219 of SRES scenarios. It was chosen as one of the marker scenarios for the AR4 and  
220 therefore model simulations using it have been analyzed extensively.

221 The E1 scenario was developed with the IMAGE 2.4 Integrated Assessment  
222 Model and corresponds to a baseline A1B scenario in terms of demographic,  
223 social, economic, technological, and environmental developments. The IMAGE  
224 A1B baseline scenario is slightly different from the IPCC A1B scenario  
225 (Nakicenovic et al. 2000), since it includes some updates concerning assumptions  
226 on population scenarios and economic growth in low-income countries (van  
227 Vuuren et al. 2007). In contrast to the A1B baseline scenario, the E1 scenario

228 implies strong mitigation measures such that GHG levels peak at 530 ppmv CO<sub>2</sub>-  
229 equivalents in 2049 and then gradually decrease to stabilize at 450 ppmv CO<sub>2</sub>-  
230 equivalents in the 22<sup>nd</sup> century. The reduction of GHG concentrations in the E1  
231 scenario comes from changes to the energy system, reduction in non-CO<sub>2</sub> GHGs,  
232 and afforestation.

233 For the ENSEMBLES S2 experiment (see Johns et al. 2011 for a more detailed  
234 description of the experimental setup), the models are forced by time varying  
235 GHG concentrations, land-use changes, aerosols, and ozone concentration. The  
236 radiative forcing from GHGs is generally lower in the E1 scenario compared to  
237 the A1B scenario. In the E1 scenario there is a rapid decrease of the aerosol  
238 burden throughout the 21<sup>st</sup> century, with aerosol burdens almost returning to pre-  
239 industrial levels by 2100. By contrast, in the A1B scenario the aerosol burden  
240 increases to a peak in 2020 and decreases rapidly thereafter. Johns et al. (2011)  
241 show that in some models during the early 21<sup>st</sup> century these two counteracting  
242 forcings can lead to warming that is a little stronger under E1 compared to A1B.  
243 By the end of the 21<sup>st</sup> century, however, all models show significantly reduced  
244 warming under E1 compared with A1B.

### 245 **3) Sea Level Rise**

#### 246 **3.1) Steric Sea Level Rise**

247 During the first half of the 21<sup>st</sup> century, the model projections of global-mean  
248 steric expansion under the A1B and E1 scenarios are similar (Figure 1a). A near  
249 insensitivity to the scenario for the early part of the century has also been  
250 demonstrated in the previous two IPCC assessment reports (Church et al. 2001;  
251 Meehl et al. 2007). In the latter part of the 21<sup>st</sup> century, steric expansion is  
252 substantially greater under the A1B scenario, and by the end of the century (2080-  
253 2099 relative to 1980-1999) the models project a range of expansion of 14 cm to  
254 27 cm under this scenario. These values are within the range of 13 cm to 32 cm  
255 given by the AR4 for global-mean thermal expansion under the same scenario for  
256 2090-2099 with respect to 1980-1999 (Meehl et al. 2007). For each individual  
257 model the steric expansion is notably reduced under E1, although the projected  
258 inter-model range of 9 cm to 19 cm overlaps with that under A1B. The ensemble  
259 mean expansion projections for A1B and E1 respectively are 20 cm and 14 cm,

260 indicating that about 30 % of the expansion could be avoided with mitigation.  
261 This percentage, however, varies between the individual models, ranging from  
262 30 % to 35 % for most models to about 20 % for HadGEM2-AO. In terms of  
263 absolute changes (in meters) the avoided amount of steric expansion is  
264 significantly correlated ( $R = 0.87$ ) with the steric expansion without mitigation,  
265 meaning that a model that simulates high steric expansion also shows the largest  
266 reduction under mitigation. In terms of relative changes, models with high  
267 expansion rates, namely BCM2, BCM-C, and ECHAM5-C, simulate an avoided  
268 fraction of about 30 %, while models with lower expansion rates, namely CNRM-  
269 CM3.3, EGMAM+, and HadCM3C, simulate an avoided fraction of 32 % to  
270 35 %.

271 The decadal rates of steric expansion over the 21<sup>st</sup> century are always positive, i.e.  
272 sea level is rising in each decade in every model (Figure 1b). At the beginning of  
273 the 21<sup>st</sup> century the decadal rates of steric expansion are similar for the two  
274 scenarios but vary considerably among the models, ranging from about 0.5 to 2.4  
275 mm/yr under the two scenarios (the observed rate of thermal expansion for 1993-  
276 2003 is given by AR4 as  $1.6 \pm 0.5$  mm/yr). Under A1B there is an increase over  
277 the century in the rates of expansion for all models and by the final decade of the  
278 21<sup>st</sup> century the range is 1.8 to 4.9 mm/yr. Under E1 the rates over the latter part  
279 of the century are considerably slower but remain positive with a range of 0.6 to  
280 2.1 mm/yr, similar to the spread for both scenarios at the beginning of the century.  
281 Unlike the amount of expansion itself, where there is a fair amount of overlap  
282 between the scenarios even at the end of the century, only the highest projected  
283 decadal expansion rate under the E1 scenario (ECHAM5-C) and the lowest rate  
284 under the A1B scenario (CNRM-CM3.3) overlap after 2065.

285 While the rates of sea level rise show considerable interannual to decadal  
286 variability, the ensemble mean expansion rates approximately stabilize under the  
287 A1B scenario towards the end of the 21<sup>st</sup> century. By contrast the rate of  
288 expansion decreases under the E1 scenario. Interestingly, the model with the  
289 greatest amount of sea level rise over the 21<sup>st</sup> century appears to have rates of sea  
290 level rise under A1B that have stabilized, while the model with the next largest  
291 amount of steric expansion across the ensemble has a near linear increasing trend  
292 in the rate of expansion over the century, which is still evident at the end of the

293 century (compare lines for models BCM2 and ECHAM5-C in Figure 1). These  
294 two models which show similar sea level rise at 2100 would be likely to show  
295 very different amounts of sea level rise into the 22<sup>nd</sup> century.

296 Although the projected increases in steric expansion and in global mean near-  
297 surface temperature over the 21<sup>st</sup> century tend to be higher under A1B than under  
298 E1 (with a linear correlation coefficient between these quantities across both  
299 scenarios and all members of the ensemble being 0.68, which is greater than the  
300 95% significance level of the student t-test), the quantities are not well correlated  
301 across the model ensemble for a particular scenario (correlation of 0.35 for A1B  
302 and 0.53 for E1, which are both below the 90% significance level). Global-mean  
303 steric expansion depends primarily on heat uptake and on the efficiency with  
304 which this heat uptake is translated into expansion of the water column. This does  
305 not result in a simple relationship of steric expansion with surface temperature  
306 changes across the ensemble.

307 The relationship of heat content change with surface temperature change, under  
308 both the A1B and the E1 scenario, is shown for four selected models from the  
309 ensemble in Figure 2. The shape of these scatter-plots is generally similar for each  
310 of the models, although it differs markedly between the two scenarios. Pardaens et  
311 al. (2011) note that the relationship between heat content change and surface  
312 temperature change is near linear in the initial decades as radiative forcing is  
313 increased and thermal expansion of the upper ocean dominates. As the heat is  
314 subsequently reaches the deeper ocean, there is some deviation from linearity  
315 under the A1B scenario and a much sharper deviation from linearity under E1. In  
316 this latter case, surface air temperatures are close to stabilization but there is  
317 ongoing expansion of the ocean. This result is consistent with a study by Li et al.  
318 (2012), who found that with stabilized greenhouse gas concentrations the deep-  
319 ocean warming plays an important role for the global thermosteric sea level  
320 change and therefore, in the long term, surface temperature is a poor predictor for  
321 steric sea-level. Moreover, the magnitude of the heat content increase over the  
322 century shows no obvious correspondence with the magnitude of the near-surface  
323 temperature increases. Both the ECHAM5-C and EGMAM+ models, for example,  
324 show similar increases in heat content under A1B, but the increase in surface  
325 temperature projected by EGMAM+ over this period is less than 60 % of that for

326 the ECHAM5-C model. For EGMAM+ the near-surface air temperature under E1  
327 shows a reduction towards the latter part of the century, rather than the  
328 stabilization given by the other models, but for all models the heat content  
329 continues to increase as heat reaches deeper into the ocean and an increasing  
330 volume of water expands (see also Meehl et al. 2012).

331 The efficiency with which changes in heat content are translated into steric  
332 expansion is an important factor for differences in expansion between models.  
333 This “expansion efficiency of heat” is given by the ratio of the rate of thermal  
334 expansion (in mm/year) to heat entering the ocean (in  $W/m^2$ ) with these two terms  
335 calculated as averages over a particular period (expansion efficiency is not linear  
336 with this period). Russell et al. (2000) used expansion efficiency calculated over  
337 50 year intervals as part of their analysis of sea level rise projections under global  
338 warming. Here we similarly analyze expansion efficiencies calculated for 50 year  
339 intervals and their evolution over the century (Figure 3)<sup>1</sup>.

340 The expansion efficiency of heat increases with temperature, pressure or salinity.  
341 A high expansion efficiency tends to indicate that heat is being distributed into  
342 warmer (surface, tropical) water and a low value tends to suggest distribution into  
343 colder (deeper, higher latitude) water. Thus, differences in expansion efficiency  
344 between models depend on the differing baseline states of the model oceans as  
345 well as on the interplay between where heat is added or re-distributed and the  
346 subsequent evolving temperature and salinity distributions (any model drift would  
347 also play a role).

348 In the early part of the 21<sup>st</sup> century the expansion efficiencies are similar for the  
349 ECHAM5-C, HadCM3C, and HadGEM2-AO models under both scenarios  
350 (slightly higher under E1 than under A1B). For these models there is a decreasing  
351 trend in expansion efficiency over the century under E1, which is smallest for  
352 ECHAM5-C and largest for HadCM3C. After around 2025 expansion efficiency

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<sup>1</sup> Time series of expansion efficiency calculated using changes over shorter intervals generally reflect those calculated from 50 year intervals, but show increasing variability. When the system is closer to equilibrium the expansion efficiency is also more prone to noise (absolute changes in the numerator and denominator can be small but give large changes in the expansion efficiency), and prior to 2000 values calculated over 50 year intervals are also subject to greater variability.

353 is greater under A1B than E1 for all three of these models, remaining relatively  
354 stable for HadCM3C and HadGEM2-AO and increasing for ECHAM5-C; this  
355 latter model has the highest expansion efficiency values. For a given amount of  
356 heat uptake the steric expansion will thus be greatest for this model.

357 EGMAM+ behaves very differently compared to the three models discussed  
358 above: Its expansion efficiency values are notably lower over the full century. The  
359 values are similar for both scenarios and they show more interannual to decadal  
360 variability. For a given amount of heat uptake, expansion will be lower than for  
361 the other models. The similar increases in 21<sup>st</sup> century heat content for EGMAM+  
362 and ECHAM5-C under A1B, which we noted earlier (despite very different  
363 increases in global mean surface temperature) thus result in a much greater steric  
364 expansion for ECHAM5-C than for EGMAM+.

365 The trend of decrease in expansion efficiency under mitigation for three of the  
366 four models is reminiscent of the decreases seen by Russell et al. (2000) in their  
367 greenhouse gas warming experiments. The surface temperatures under E1 for  
368 these three models remain relatively stable in the latter parts of the century  
369 (Figure 4) despite the ongoing heat uptake. This result suggests that somewhat  
370 deeper colder waters are likely to be the main location of the increase in heat  
371 content during this period. The depths at which heat content changes take place  
372 (over successive 50 year intervals) was further investigated for the models  
373 HadCM3C, HadGEM2-AO, and EGMAM+ (results not shown) and support this  
374 suggestion. However, our projections also show some rather different behavior to  
375 that noted by Russell et al. (2000); for example, the increase in expansion  
376 efficiency for ECHAM5-C model under A1B. Surface temperatures continue to  
377 increase over the century for all models under A1B. Heat added to warming  
378 surface waters under this scenario leads to an increase in expansion efficiency,  
379 while heat added to the deeper colder waters leads to a smaller expansion  
380 efficiency. This balance is likely to be the main process determining the trend in  
381 expansion efficiency (although other factors, such as redistribution between  
382 warmer and colder regions of the upper ocean could be important). A full analysis  
383 of the reasons for the differences in expansion efficiency is beyond the scope of  
384 this study, but our inter-model comparison clearly shows that differences in

385 expansion efficiency as well as in heat uptake can be important in determining the  
386 overall contribution of expansion to sea level rise.

### 387 **3.2) Temperature Thresholds for the Greenland Ice Sheet**

388 Another important contribution to sea level rise is melting of land-based ice. For  
389 example, the elimination of the Greenland ice sheet (GIS) would raise global  
390 mean sea level by 7 m (Meehl et al. 2007). For sustained warmings above a  
391 certain threshold, it is likely that the ice sheet would eventually melt completely.  
392 Gregory and Huybrechts (2006) estimated that the threshold at which the net  
393 surface mass balance of the GIS becomes negative is given at a global mean near  
394 surface warming of 1.9-5.1°C (95% confidence interval) with a best estimate of  
395 3.1°C relative to the preindustrial period. Robinson et al. (2012) found that the  
396 threshold leading to a monostable essentially ice-free state is in the range of 0.8-  
397 3.2°C with a best estimate of 1.6°C.

398 The global average temperature increases in the models presented in our study  
399 have been analyzed in Johns et al. (2011). In summary, while the temperatures are  
400 projected to increase throughout the entire 21<sup>st</sup> century in the A1B scenario, they  
401 stabilize in the second part of the century in the E1 scenario (Figure 4). By the end  
402 of the century all models display a temperature increase above the best estimate  
403 from Robinson et al. (2012), and more than half of the models display a  
404 temperature increase above the best estimate from Gregory and Huybrechts  
405 (2006). As intended in the E1 scenario design, the global mean temperature  
406 increase by the end of the 21<sup>st</sup> century is about 2°C above preindustrial levels.  
407 While only one model, namely EGMAM+, shows a temperature increase well  
408 below 1.6°C, none of the models project a temperature increase of more than  
409 3.1°C. Note that if the full uncertainty range given by Robinson et al. (2012) were  
410 considered, most models exceed the threshold early in the 21<sup>st</sup> century (Figure 4).  
411 Still, for reliable estimates, models which include a fully coupled land-ice  
412 component would be needed.

## 413 **4) Sea Ice Changes**

414 In this section, we first present a summary of the statistics of sea ice cover for the  
415 recent climate. Then, an analysis of projected sea ice changes is presented based

416 on all participating models. Here, a particular focus will be the avoided fraction of  
417 sea ice change in E1. Where more than one realization of a scenario was available  
418 the simulated sea ice extent is averaged over the ensemble members so that all  
419 models are weighted equally in the analysis.

420 Following the widely used approach in model studies (e.g. Arzel et al. 2006) and  
421 observational studies (e.g. Johannessen et al. 2004), the sea ice extent is defined  
422 as the total area of all grid boxes where at least 15 % of the grid box area is  
423 covered by sea ice. The model resolutions (which affect the size of the grid boxes)  
424 and particular land-sea masks used both affect the calculation of the sea ice extent.  
425 As an observational reference, sea ice extent from SSMR data until June 1987,  
426 then SSM/I data until 1999 (Fetterer et al. 2002) provided by NSIDC (Boulder,  
427 Colorado, USA) are used.

428 For the analysis of the spatial patterns of sea ice extent and its projected changes,  
429 the simulated sea ice concentrations from the eight models were interpolated to a  
430  $1 \times 1^\circ$  grid (using mean values for the models ECHAM5-C, HadGEM2-AO, and  
431 IPSL-CM4). The HadISST dataset (Rayner et al. 2003), which is provided on the  
432 same grid, is employed as an observational reference. To illustrate the level of  
433 agreement between the models percentiles are shown instead of means.

#### 434 **4.1) Present Day Climatology**

435 All models capture the observed annual mean value of the Arctic sea ice extent of  
436  $12.23 \times 10^6 \text{ km}^2$  (Fetterer et al. 2002) with errors of less than 20 % of the  
437 observed value (Table 2) and reproduce the main characteristics of the seasonal  
438 cycle of Arctic sea ice (Figure 5a). Thus, as already shown for the AR4 models  
439 (e.g. Arzel et al. 2006; Flato et al. 2004), there is a fairly good agreement between  
440 the model simulations and the observations in terms of Arctic sea ice extent.

441 Although the spread of simulated ice edge is large, especially in September  
442 (Figure 6), the median Arctic sea ice extent (50% contour) for the period 1980 to  
443 1999 agrees well with the observations (thick magenta line) for both March and  
444 September. The evaluation of Arctic sea ice simulations are summarized in a  
445 Taylor diagram (Figure 7a).

446 By contrast, the simulations of Antarctic sea ice reveal large biases, with the  
447 ensemble mean underestimating the observed sea ice extent of  $11.96 \times 10^6$  km<sup>2</sup> for  
448 the period 1980-1999 (Fetterer et al. 2002) by about 18 %. Moreover, the  
449 ensemble spread itself is greater than the observed value. In the models BCM2,  
450 BCM-C, and CNRM-CM3.3 less than half of the observed extent is simulated.  
451 The main cause for the underestimation of Antarctic sea ice extent in BCM2 and  
452 BCM-C is excessive mixing between the surface and the deep ocean in the  
453 Southern Ocean (Otterå et al. 2009). This excessive mixing erodes the simulated  
454 haloclines in these two models and makes it difficult to maintain the fresh and  
455 cold surface layers required for wintertime freezing and formation of sea ice. In  
456 the CNRM-CM3.3 model the main reason for the lack of sea ice is the  
457 overestimation of incoming short wave solar radiation. This radiative bias causes  
458 excessive melting of sea ice and ocean surface temperatures which are too warm,  
459 particularly during summer and fall. These warm ocean conditions delay the  
460 formation of new sea ice, since freezing is only possible when the mixed layer  
461 temperature is close to the freezing point.

462 While the median September sea ice edge agrees reasonably well with  
463 observations, the spatial patterns of Antarctic sea ice (Figure 8) demonstrate a  
464 fairly consistent underestimation of sea ice concentration at the end of the  
465 Southern Hemisphere summer by most models. The evaluation of Antarctic sea  
466 ice simulations is summarized in a Taylor diagram (Figure 7b). Owing to the large  
467 biases in the present day simulations of the Antarctic sea ice patterns, we will not  
468 discuss spatial patterns of projected changes for the Antarctic sea ice.

469

Model	Arctic				Antarctic			
	Annual mean	std dev	Mar mean	Sep mean	Annual mean	std dev	Mar mean	Sep mean
BCM2	11.72	0.37	15.36	6.07	1.57	0.10	0.01	3.18
BCM-C	14.12	0.16	16.60	11.43	5.98	0.37	1.67	10.24
EGMAM+	13.75	0.29	18.71	8.35	11.42	0.86	2.30	21.43
HadCM3C	11.59	0.45	16.55	5.71	14.43	0.83	4.88	24
HadGEM2-AO	14.50	0.21	19.46	7.05	12.76	0.54	4.45	19.93
ECHAM5-C	12.43	0.14	16.20	8.50	15.20	0.45	8.28	23.13
IPSL-CM4	11.77	0.25	17.58	5.01	12.33	0.35	1.56	23.69
CNRM-CM3.3	11.03	0.11	13.18	8.75	4.86	0.44	0.01	12.27
Ensemble-avg	12.61	0.08	16.70	7.61	9.82	0.11	2.89	17.23
NSIDC Obs	12.23	0.17	15.82	7.11	11.96	0.15	4.35	18.80

471

472 Table 2: Sea ice statistics (1980-1999): Simulated annual mean sea ice extent and standard  
473 deviation of detrended annual mean sea ice extent, and means for March and September [ $10^6$  km<sup>2</sup>];  
474 model results and the NSIDC observational data set are shown.

475

## 476 4.2) Projected Sea Ice Changes

477 As a response to rising greenhouse gas concentrations and the corresponding  
478 temperature increase, sea ice extent is expected to decrease in both hemispheres.  
479 In the following sections, we analyze the changes in Arctic and Antarctic sea ice  
480 changes individually for late summer (Arctic: September; Antarctic: March) and  
481 late winter (Arctic: March, Antarctic: September).

### 482 4.2.1) Arctic Sea Ice changes

483 In the multi-model ensemble mean, Arctic sea ice extent is projected to decrease  
484 during the first half of the 21<sup>st</sup> century in both scenarios (Figure 9). In the E1  
485 scenario the rate of reduction in sea ice extent decelerates throughout the 21<sup>st</sup>  
486 century in both seasons (Figure 9 b, d, f, h). By contrast, in the A1B scenario, the  
487 rate of reduction of March extent remains at a similar level until the end of the  
488 century and the median sea ice edge is projected to shift polewards (Figure 6d). A  
489 deceleration of the reduction is found for the September sea ice extent, especially

490 during the second half of this century (Figure 9 a, c, e, g). The reason for this  
491 deceleration is an ice free Arctic, i.e. a sea ice extent of less than  $1 \times 10^6$  km<sup>2</sup>, as  
492 simulated by several models.

493 While most models display a rather slow decrease of the September sea ice extent  
494 during the first half of the 21<sup>st</sup> century, in BCM2 the sea ice extent decreases  
495 rather rapidly during the first two decades of the century in both scenarios. Under  
496 the A1B scenario, BCM2 simulates an ice free Arctic for September starting  
497 around 2045, IPSL-CM4 around 2050, HadCM3C around 2060, and HadGEM2-  
498 AO and ECHAM5-C around 2080 (see also Figure 6c for the spatial distributions  
499 of Arctic sea ice for the end of the 21<sup>st</sup> century). By contrast, three models,  
500 namely EGMAM+, BCM-C, and CNRM-CM3.3, do not simulate an ice free  
501 Arctic under the A1B scenario, with an extent ranging from less than  $3 \times 10^6$  km<sup>2</sup>  
502 (EGMAM+) to more than  $8.5 \times 10^6$  km<sup>2</sup> (BCM-C) model; however, the BCM-C  
503 model overestimates the present day Arctic sea ice extent, namely over the  
504 Barents Sea. By contrast, under the E1 scenario there are only two models  
505 simulating a September extent less than  $1 \times 10^6$  km<sup>2</sup>, namely BCM2 and IPSL-  
506 CM4.

507 The multi-model mean September sea ice extent stabilizes at about  $2.2 \times 10^6$  km<sup>2</sup>  
508 in the A1B scenario and  $4.4 \times 10^6$  km<sup>2</sup> in the E1 scenario. Thus, according to the  
509 model projections, a reduction corresponding to about 35 % of the present day  
510 September sea ice extent will be avoided in the E1 scenario (Figure 10b). The  
511 remaining ice cover is restricted to the central Arctic Ocean and does not reach  
512 Eurasia or Alaska (Figure 6e). The avoided fraction is somewhat less than  
513 estimated by Washington et al. (2009) for their mitigation scenario.

514 While most models reveal a potential to avoid sea ice reductions, the CNRM-  
515 CM3.3 model shows a slight increase in Arctic sea ice extent in March for both  
516 scenarios (Figure 9a, b; Figure 10a). This is due to a marked increase of the  
517 amount of sea ice in the northern Labrador Sea, itself explained by the shutdown  
518 of ocean convection owing to warmer conditions in this area. Since the surface  
519 warming is more pronounced in the A1B than in the E1 scenario, it turns out that  
520 there is more sea ice in the Labrador Sea by the end of the 21<sup>st</sup> century in the A1B  
521 than in the E1 simulation. A full study of this phenomenon, as found in an A1B

522 simulation performed with a previous version of CNRM-CM (AR4 version), can  
523 be found in Guemas and Salas-Mélia (2008). Likewise, March sea ice extent in  
524 EGMAM+ displays large variability on decadal timescales (Figure 9a, b), which  
525 is related to strong variability in the Labrador Sea, with an average reduction  
526 somewhat weaker than the ensemble mean (Figure 10a).

527 The different behavior for the two seasons indicates that the decrease in multiyear  
528 sea ice is stronger than the reductions of seasonally covered areas. Consistent with  
529 the results of the AR4 for the A1B, A2, and B1 scenarios (Zhang and Walsh  
530 2006), this amplification of the seasonal cycle is less pronounced in the E1  
531 scenario compared to the A1B scenario. The multi-model ensemble mean extent  
532 in September is approximately 16 % of the simulated March extent in the A1B  
533 scenario and 30 % in E1 (Table 2) by the end of the 21<sup>st</sup> century. Among others  
534 reasons, such as differences in the radiation budget, the different behavior for  
535 March and September is related to the ice thickness. In most of the models the  
536 relative Arctic sea ice volume change during March is about two to three times the  
537 relative fraction of the sea ice extent change (Table 3), as indicated in previous  
538 studies (Gregory et al. 2002; Arzel et al. 2006); in contrast, sea ice volume and  
539 extent changes are about equal during September. This feature is explained by a  
540 negative growth-thickness feedback (Bitz and Roe 2004). Since the sea ice growth  
541 rates depend on the reciprocal of the sea ice thickness, when ice thins the growth  
542 rates increase. The relationship between the reduction in sea ice volume per  
543 reduction in sea ice area is, however, not linear, since for larger reductions in area  
544 the volume loss is not so great (Gregory et al. 2002). Since Arctic September sea  
545 ice is already very thin at the beginning of the 21<sup>st</sup> century, the growth-thickness  
546 feedback is rather weak.

547 Evidently, in E1 the fraction of volume loss per loss in sea ice extent is larger than  
548 in A1B, which can also be related to the weaker growth-thickness feedback in the  
549 A1B scenario. This finding is in accordance with earlier studies (e.g. Gregory et  
550 al. 2002). Owing to a slight increase in sea ice extent in March in the models  
551 CNRM-CM3.3 in both scenarios and in EGMAM+ in the E1 scenario (see section  
552 4.2.1), the relationship is actually negative, i.e. the Northern Hemispheric sea ice  
553 volume decreases while the extent actually increases slightly.

	Arctic Mar		Arctic Sep		Antarctic Mar		Antarctic Sep	
	A1B	E1	A1B	E1	A1B	E1	A1B	E1
BCM2	2.89	3.60	1.00	1.12	1.00	0.99	1.11	1.19
BCM-C	3.26	3.40	3.08	3.40	1.12	1.20	1.36	1.59
EGMAM+	2.63	-1.58	1.09	2.35	1.34	1.25	3.35	0.44
HadCM3C	2.15	2.08	1.03	1.39	1.42	1.63	1.37	1.48
HadGEM2-AO	2.44	2.59	1.06	1.03	0.88	0.81	1.22	1.22
ECHAM5-C	2.26	2.89	1.03	1.86	1.85	2.90	1.29	1.91
IPSL-CM4	2.15	2.46	1.00	1.04	1.17	1.42	1.85	1.54
CNRM-CM3.3	-12.27	-4.20	2.30	3.77	0.93	0.43	1.18	1.06
Ensemble-avg	2.49	2.90	1.07	1.23	0.90	0.82	0.96	0.88

554 Table 3: Ratio of sea ice volume change to sea ice extent change in fractions of initial state; Mar -  
555 March; Sep - September

556

557 While model differences for Arctic March sea ice extent in the A1B scenario are  
558 larger than the interannual to decadal variability found in most models  
559 (Figure 9a), the simulated reductions of late winter sea ice extent is more  
560 consistent between the models in the E1 scenario. The multi-model spread of the  
561 simulated September sea ice extent by the end of the 21<sup>st</sup> century in the A1B  
562 scenario is of the same order as the reduction of the ice extent itself.

563 Some of the uncertainty associated with the sea ice changes may be explained by  
564 the many different global mean temperature responses of the models. In addition,  
565 the rate of annual Arctic sea ice extent decline compared to present day levels per  
566 1°C warming varies significantly among the models. In the CNRM-CM3.3 model  
567 the rate is about 4 %/°C, in the IPSL-CM4 it is about 16 %/°C (Figure 11). The  
568 differences in the sensitivity can be explained by two factors, Arctic polar  
569 amplification and local sea ice sensitivity (Mahlstein and Knutti 2012). These  
570 factors are linked, since sea ice is known to play a crucial role in the amplification  
571 of warming due to the ice-albedo feedback (see Mahlstein and Knutti 2012 for a  
572 more detailed discussion).

573 Differences in the sensitivity of sea ice to temperature changes between the A1B  
574 and E1 scenarios are small (Figure 11), but, the relationship varies for the  
575 different seasons. In March, differences between the scenarios are very small (not  
576 shown), indicating a close linear relationship between temperature changes and  
577 sea ice changes. In September the sensitivity depends on how much ice is

578 available for melt (not shown). The simulated Arctic sea ice decline per degree of  
579 warming for most models is stronger in the E1 scenario than in the A1B scenario,  
580 when there is still a large amount of sea ice in the beginning of the 21<sup>st</sup> century.  
581 As soon as the Arctic becomes ice free or almost ice free, the relationship between  
582 temperature changes and sea ice changes is markedly non-linear (e.g. Mahlstein  
583 and Knutti 2012; Ridley et al. 2008). Obviously, once the Arctic is ice free, no  
584 more changes will occur, even if the temperatures rise. If the Arctic is almost ice  
585 free, in a few models some ice always remains, even if the temperatures increase  
586 further (see also Wang and Overland 2009). This result is explained by two  
587 processes: (1) the maximum ice thickness decreases more slowly due to the  
588 growth-thickness feedback (Bitz and Roe 2004), and (2) the snow cover on multi-  
589 year ice insulates the ice from the atmosphere (Notz 2009).

590 While September sea ice reduction under the E1 scenario is related to the present  
591 day ice cover (correlation coefficient  $R=0.83$ ), under the A1B scenario where  
592 reductions close to 100 % are simulated such a relationship does not exist. In fact,  
593 out of the 5 models that simulate an ice free Arctic during the summer within the  
594 21<sup>st</sup> century, those models with less than observed present day summer sea ice  
595 extent, namely BCM2, IPSL-CM4, and HadCM3C, produce an ice free Arctic  
596 earlier than the models with similar to observed or overestimated present day  
597 summer sea ice extent, namely HadGEM2-AO and ECHAM5-C. This is in line  
598 with the hypothesis that excessively small ice cover, as is the case during late  
599 summer, will respond more sensitively to radiative forcing (e.g. Zhang and Walsh  
600 2006). Therefore, initial biases in Arctic summer ice cover are likely to be an  
601 important factor for the simulation of future changes in mitigation scenarios that  
602 could prevent an ice-free Arctic. However, under both scenarios there is no  
603 significant relationship during March.

604 The avoided reduction of September Arctic ice extent, i.e. the difference between  
605 sea ice extent in A1B and E1 at the end of the 21<sup>st</sup> century, is not significantly  
606 related to the initial state of the ice cover. This result indicates that the inter-model  
607 spread of the avoided reduction is mainly explained by the processes examined  
608 above and is not caused by initial biases in the Arctic sea ice extent or thickness.  
609 By contrast, the projected difference of the final sea ice extent in March between  
610 the A1B and E1 scenarios significantly correlates with the initial extent ( $R=-0.69$ ;

611 > 90% significance level). Note that in terms of the avoided fraction relative to the  
612 present day sea ice extent, the correlation coefficient with the initial extent shows  
613 a similar relationship, but it is not significant ( $R=-0.5$ ). This means that a model  
614 that simulates a large initial Arctic sea ice extent in March tends to produce a  
615 larger difference between the A1B and E1 scenarios by the end of the 21<sup>st</sup> century.

#### 616 4.2.2) *Antarctic Sea Ice changes*

617 For both seasons the ensemble mean suggests a reduction of Antarctic sea ice  
618 extent during the 21<sup>st</sup> century. During the first half of this century the reduction in  
619 both scenarios is of the same magnitude (Figure 9e-h). Afterwards, sea ice extent  
620 stabilizes in the E1 scenario, while it is further reduced in the A1B scenario. By  
621 the end of the 21<sup>st</sup> century (2080-2099) the extent in the A1B scenario is reduced  
622 by about 23 % in September and about 39 % in March relative to present day  
623 (1980-1999). In the E1 scenario the reduction of extent is only about 11 % for  
624 September and 22 % for March. Note that in contrast to relative changes the  
625 absolute reduction of sea ice extent is more pronounced during the Southern  
626 Hemispheric winter for most models. In contrast to the Arctic, where the  
627 amplification of the seasonal cycle is stronger in the A1B scenario, in the  
628 Southern Hemisphere the amplitude of the seasonal cycle is similar under both  
629 scenarios. However, the spread of changes in sea ice extent within the ensemble is  
630 especially large, with a magnitude similar to the ensemble mean change,  
631 especially in the E1 scenario.

632 Differing from the changes in the Arctic, the Antarctic sea ice volume change per  
633 sea ice extent change ratio is less than one, i.e. sea ice extent decreases are  
634 stronger than the volume decreases (Table 3). Only some models, namely BCM-  
635 C, HadCM3C, and ECHAM5-C, indicate a larger Antarctic sea ice volume loss  
636 per loss in sea ice extent in the E1 scenario compared to the A1B scenario for both  
637 seasons, again highlighting less confidence in sea ice changes in Antarctica than  
638 the Arctic.

639 In contrast to the projections of Arctic sea ice extent, the projected Antarctic sea  
640 ice extent reductions are highly dependent on the initial sea ice extent in the  
641 models. The correlation coefficient between the relative reduction of sea ice  
642 extent and the initial extent is in the range of 0.64 to 0.89 depending on season

643 and scenario. Here, in line with the ice-albedo feedback, a model with a large sea  
644 ice extent for present-day climate tends to simulate a weak reduction in a future  
645 climate under increasing GHG concentrations. This relationship is stronger during  
646 Southern Hemispheric winter. However, it should be pointed out that the  
647 correlation is based on a sample of only eight models. Three of them, namely  
648 BCM2, BCM-C, and CNRM-CM3.3, largely underestimate present day sea ice  
649 extent and consistently simulate the strongest relative reductions during the 21<sup>st</sup>  
650 century. The projected changes from these three models dominate the correlation  
651 coefficient, whereas the relationship is not as strong for the other models. In terms  
652 of the potential to avoid reductions in the sea ice extent, models that simulate a  
653 larger present day sea ice extent during Southern Hemispheric winter tend to  
654 simulate less potential for avoiding reductions in the E1 scenario compared to the  
655 A1B scenario ( $R= 0.4$ ). For the Antarctic summer extent such a relationship does  
656 not exist.

657 Consistent with the pronounced relationship between the initial state and the  
658 projected changes during the 21<sup>st</sup> century, the dependency of the Antarctic sea ice  
659 extent on Southern Hemispheric temperature change is not as strong as shown for  
660 the Northern Hemisphere. Therefore, the correlation coefficients for the linear  
661 regression between Antarctic sea ice changes and warming vary considerably  
662 among the models, ranging from 0.09 to 0.93. In models with a close linear  
663 relationship, namely HadCM3C, HadGEM2-AO, ECHAM5-C, and IPSL-CM4,  
664 the sensitivity is in the range of 9 % -15 % decrease in sea ice extent per degree  
665 warming.

666

667 Inter-hemispheric differences in the evolution of the sea ice in the 21<sup>st</sup> century are  
668 evident in the results presented above. To a certain extent these differences can be  
669 attributed to the land-sea distribution. The Arctic sea ice extent is partly limited by  
670 land area, while sea ice extent in the Southern Ocean is not constrained in such a  
671 way. Therefore, Eisenman et al. (2011) attribute inter-hemispheric differences in  
672 the model projections to the land-sea geometry, suggesting that simulated sea ice  
673 changes are consistent with sea ice retreat being fastest in winter in the absence of  
674 landmasses. Likewise, Notz and Marotzke (2012) conclude that sea ice changes in  
675 the Arctic are mainly driven by greenhouse gas forcing, while Antarctic sea ice  
676 changes are primarily governed by sea ice dynamics.

## 677 **5) Discussion and Conclusions**

678 In this study projected changes in sea level and sea ice extent in an aggressive  
679 mitigation scenario, E1 designed to limit global warming to 2°C and a scenario  
680 with no mitigation(A1B) are investigated employing a multi-model approach. The  
681 fraction of climate change impact that could be avoided is calculated, as has been  
682 done in previous studies. In contrast to these previous studies, however, by  
683 presenting results from a multi-model ensemble, estimates of the uncertainty are  
684 included and possible reasons for the uncertainty are proposed.

685 In agreement with previous studies using different scenarios (e.g., Church et al.  
686 2001; Meehl et al. 2007) ocean expansion is independent of the scenario during  
687 the first half of the 21<sup>st</sup> century. Even under the mitigation scenario expansion is  
688 still increasing at the end of the 21<sup>st</sup> century, albeit at a reduced rate compared to  
689 that under A1B (see also Meehl et al. 2012). For a particular scenario, however,  
690 steric expansion across the ensemble is not well correlated with near surface air  
691 temperature changes. Instead, the model spread in projected 21<sup>st</sup> century  
692 expansion is substantially affected by differences in both expansion efficiency and  
693 heat uptake. The tendency for a decreasing trend in expansion efficiency under the  
694 E1 scenario appears to be linked to a transfer of the dominant location of heat  
695 uptake from the warmer upper part of the water column to somewhat deeper  
696 colder waters.

697 The avoided steric expansion under E1 for the 21<sup>st</sup> century has a spread across the  
698 ensemble of 20 % to 35 % of that under the A1B scenario, with ensemble mean  
699 expansion of 20 cm under the A1B scenario and 14 cm under the E1 scenario.  
700 Larger (smaller) amounts of avoided expansion (in meters; not in terms of  
701 percentage) across the ensemble are related to larger (smaller) amounts of  
702 expansion without mitigation. The ensemble mean avoided expansion is very  
703 similar to that found by Washington et al. (2009) in their comparison of business-  
704 as-usual and mitigation projections with the CCSM3 coupled climate model,  
705 although their scenarios were different to those used here and similar to that found  
706 by Yin (2012) in the CMIP5 models, who compared projections using the RCP2.6  
707 and the RCP4.5 scenarios. The 21<sup>st</sup> century pathway of greenhouse gas  
708 concentrations will strongly affect sea level commitment beyond the scenario

709 period (Meehl et al. 2006) so that, while around a third of the expansion may be  
710 avoided over the 21<sup>st</sup> century, mitigation within the 21<sup>st</sup> century is likely to give  
711 substantial further benefits over subsequent centuries.

712 In this study we have focused on the potential effects of a business-as-usual and a  
713 mitigation scenario on the global mean steric expansion component of sea level  
714 rise. The net melt of glaciers, ice caps and ice sheets will also contribute to sea  
715 level rise with a contribution that may be a notable fraction of the total (Meehl et  
716 al. 2007). Reliable conclusions, regarding whether sustained warming above a de-  
717 glaciation threshold for the Greenland ice sheet may be avoided with the  
718 mitigation efforts assumed in the E1 scenario, cannot be drawn without the  
719 inclusion of a coupled land-ice model. Moreover, in the longer term, if some parts  
720 of the Greenland ice sheet were eliminated, a new equilibrium of this ice sheet  
721 may be possible (Ridley et al. 2010; Robinson et al. 2012).

722 The upper limit for the contribution of glaciers and ice caps outside Greenland  
723 and Antarctica can be given by the total ice volume available for melt. It is  
724 estimated to be less than 0.4 m sea level equivalent (Steffen et al. 2010 and  
725 references therein) and thus, in the longer term its contribution to sea level rise  
726 will diminish. In addition, the extraction of groundwater globally could be an  
727 important factor to consider in terms of adaptation and mitigation strategies.  
728 About 13 % of the total sea level rise from 2000 to 2008 can be attributed to  
729 groundwater depletion (Konikow 2011) and by 2050 the total rise from  
730 anthropogenic terrestrial contributions, i.e. groundwater depletion minus dam  
731 impoundment, is estimated to be 3.1 cm (Wada et al. 2012).

732 Projected changes of sea ice in the A1B and the E1 scenarios have been presented  
733 and evaluated in terms of possible dependency on the initial state and temperature  
734 changes. As shown for the AR4 models (Arzel et al. 2006; Flato et al. 2004),  
735 present day sea ice extent in the Arctic is simulated reasonably well by the models  
736 both in terms of annual mean extent and the seasonal cycle. The models'  
737 performance in simulating the annual mean sea ice extent and the amplitude of the  
738 seasonal cycle in the Antarctic is worse than for the Arctic. Biases in the present  
739 day Antarctic sea ice extent are explained by several processes that are related to  
740 the oceanic circulation and the radiative budget. The dominating processes differ

741 among the models and need to be assessed more thoroughly in further studies (see  
742 also Parkinson et al. 2006).

743 The Arctic sea ice extent is projected to decrease in the 21<sup>st</sup> century in most  
744 models in both scenarios, resulting in a poleward shift of the sea ice edge. The  
745 decrease in summer extent is stronger than the annual decrease, indicating an  
746 amplification of the seasonal cycle in both scenarios. Consistent with Wang and  
747 Overland (2009), Wang and Overland (2012) and Stroeve et al. (2012), the period  
748 where an ice free Arctic during September is established varies considerably  
749 among the models used in this study. However, our results suggest that under  
750 mitigation an ice free Arctic during summer may be avoided and a reduction  
751 corresponding to 35 % of the present day extent for September is projected to be  
752 avoided in the E1 scenario.

753 As also pointed out by Zhang and Walsh (2006), we find some indications of a  
754 robust relationship between the initial sea ice area and sea ice reduction, since  
755 excessively small ice cover responds more sensitively to radiative warming.  
756 However, the simulated feedbacks related to the heat and freshwater budgets in  
757 the different models may vary considerably. Furthermore, in line with Holland  
758 and Bitz (2003) and Mahlstein and Knutti (2012), a strong correlation between the  
759 temperature response and the reduction of the sea ice extent in the Arctic is found.

760 Consistent with the large ensemble spread in present day sea ice extent in the  
761 Antarctic, projections for the 21<sup>st</sup> century reveal considerable uncertainty. In the  
762 present study, projections of sea ice extent changes are strongly correlated with  
763 the initial ice extent. It is therefore crucial to reduce the model deficiencies that  
764 produce the present day biases in Antarctic sea ice extent, since they affect the  
765 projected changes. Goose et al. (2009) concluded that a delicate balance between  
766 several processes results in either decreasing or increasing Antarctic sea ice extent  
767 and extrapolation of the observed changes for future or past conditions should be  
768 considered hazardous. Further research is needed to evaluate the models' ability to  
769 simulate the complicated interactions between the thermodynamic response to the  
770 radiative forcing, changes in wind stress, related to changes in the atmospheric  
771 circulation and oceanic stratification and heat transport.

772 In light of the aim to avoid “dangerous interference” with the climate system by  
773 limiting global warming to 2°C, we conclude that although in the majority of the  
774 models the projections suggest that an ice free Arctic in September can be  
775 avoided, an ice free Arctic is possible during summer even if global warming is  
776 limited to 2°C. Regardless of mitigation measures, some sea level rise during the  
777 21<sup>st</sup> century and beyond is inevitable. Therefore, in addition to mitigation efforts  
778 to limit sea level rise in the 21<sup>st</sup> and subsequent centuries, adaptation measures are  
779 likely to be needed in the 21<sup>st</sup> century.

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1116

## 1117 **Figure Captions**

1118 **Fig 1 a):** Global annual mean steric sea level rise for A1B (solid lines) and E1 (dashed lines) [m];  
1119 b): 11-year running trend of global mean steric sea level rise for A1B and E1 [mm/a]

1120 **Fig 2** Relationship between changes in global mean near-surface air temperature and in heat  
1121 content (both relative to the 1980-1999 mean period) over the 21<sup>st</sup> century for four models under  
1122 the A1B (red dots) and E1 (blue dots) scenarios. Each dot represents one annual mean from the  
1123 2000 to 2100 period.

1124 **Fig 3** Expansion efficiency for each of four models under the A1B (solid lines) and E1 (dashed  
1125 lines) scenarios over the 21<sup>st</sup> century. Values are calculated using averages of the rate of thermal  
1126 expansion and heat uptake over 50 year periods and allocated to the central time. See text for  
1127 details.

1128 **Fig 4** Global mean near surface temperature change w.r.t. preindustrial. Solid/dashed lines  
1129 represent the A1B/E1 scenario. The grey area illustrates combined uncertainty range for a  
1130 threshold for the GIS from Gregory and Huybrechts (2006) and Robinson et al. (2012); the  
1131 corresponding best estimates are represented by black dashed line. Box whiskers are shown for the  
1132 mean near surface temperature increases for the last decade of the 21<sup>st</sup> century. The box represents  
1133 the 25<sup>th</sup> to 75<sup>th</sup> percentile, and the whiskers give the full range and the median is displayed as a  
1134 black line. Colors as in Figure 1 and red lines for IPSL-CM4.

1135 **Fig 5** Seasonal cycle of Arctic (a) and Antarctic (b) sea ice extent for the 1980-1999 climatology  
1136 simulated by the models, ensemble mean (dashed black line) and NSIDC observations (solid black  
1137 line) [ $10^6 \text{ km}^2$ ]

1138 **Fig 6** Arctic range of sea ice extent in the model simulations. Shading indicates the percentage of  
1139 models that have a sea ice fraction of more than 15 % of the grid box in September (left) and  
1140 March (right) for 1980-1999 (a-b); 2080-2099 in the A1B scenario (c-d), and the E1 scenario (e-f).  
1141 The observed sea ice edge (thick magenta line) is based on the HadISST dataset (Rayner et al.  
1142 2003).

1143 **Fig 7** Taylor diagrams (Taylor 2001) showing correlation and normalized standard deviation  
1144 (1980-1999) for the Arctic (a) and the Antarctic (b) patterns of the sea ice fraction (where sea ice  
1145 covers more than 15 % of the grid cell) in September (circles) and March (diamonds). Reference  
1146 data is HadISST (Rayner et al. 2003) from 1980-1999.

1147 **Fig 8** as Figure 6 a-b but for Antarctic

1148 **Fig 9** Multi-model simulated anomalies in sea ice extent for the A1B scenario (left column) and  
1149 the E1 scenario (right column) for upper two rows (a-d): Arctic March (a, b) September (c, d);  
1150 lower two rows(e-h): same but for the Antarctic, ensemble mean anomalies depicted in thick black  
1151 lines; sea ice extent defined as the total area where sea ice concentration exceeds 15 %; anomalies

1152 relative to the period 1980-2000; the ensemble mean 1980-1999 extent of the respective  
1153 hemispheres and month are depicted in the subfigure titles in the right column.

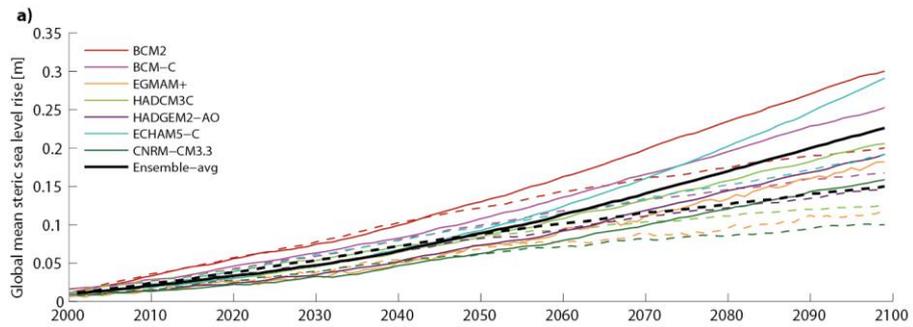
1154 **Fig 10** Changes of the sea ice extent (2080-2099 relative to 1980-1999). Black bars depict A1B  
1155 changes, white bars E1changes [ $10^6$  km<sup>2</sup>]; relative changes of A1B/E1 are given below the bars  
1156 [%]. a-b) Arctic; c-d) Antarctic; a, c) End of freezing season (March for Arctic, September for  
1157 Antarctic); b, d) End of melting season (September for Antarctic, March for Arctic).

1158 **Fig 11** The relationship between global mean near surface air temperature rise and Arctic annual  
1159 mean sea ice extent with respect to the present day state (cf. Ridley et al. 2008, Figure 4). The red  
1160 dots represent model simulations of the A1B scenario, the blue dots the E1 scenario. Each dot  
1161 represents one annual mean from the 2000 to 2100 period. The sensitivities of sea ice changes to  
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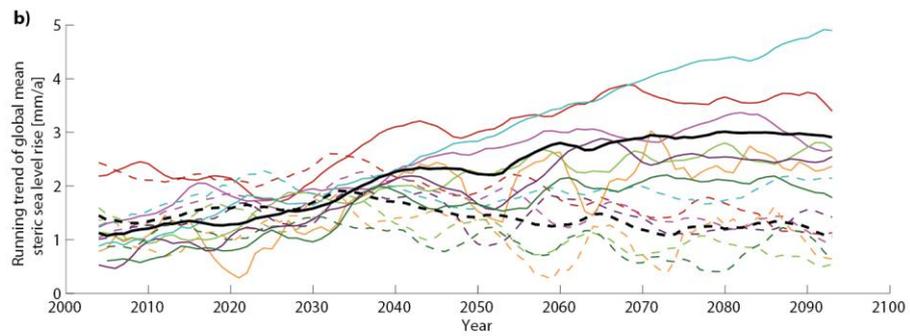
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1164 **Figures**

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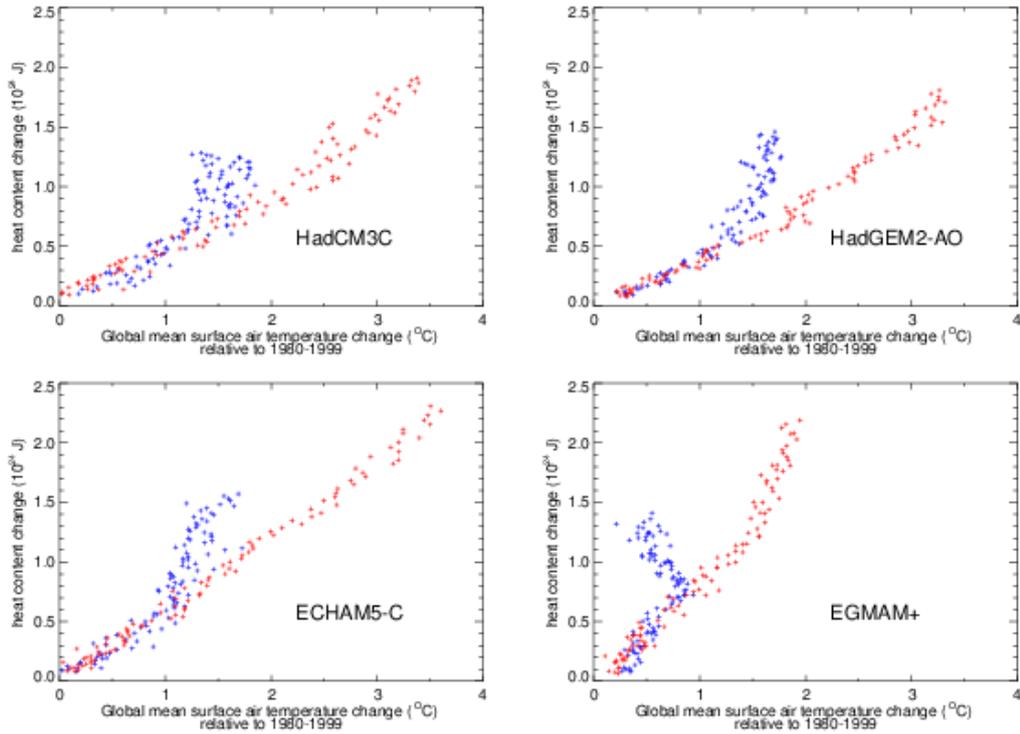
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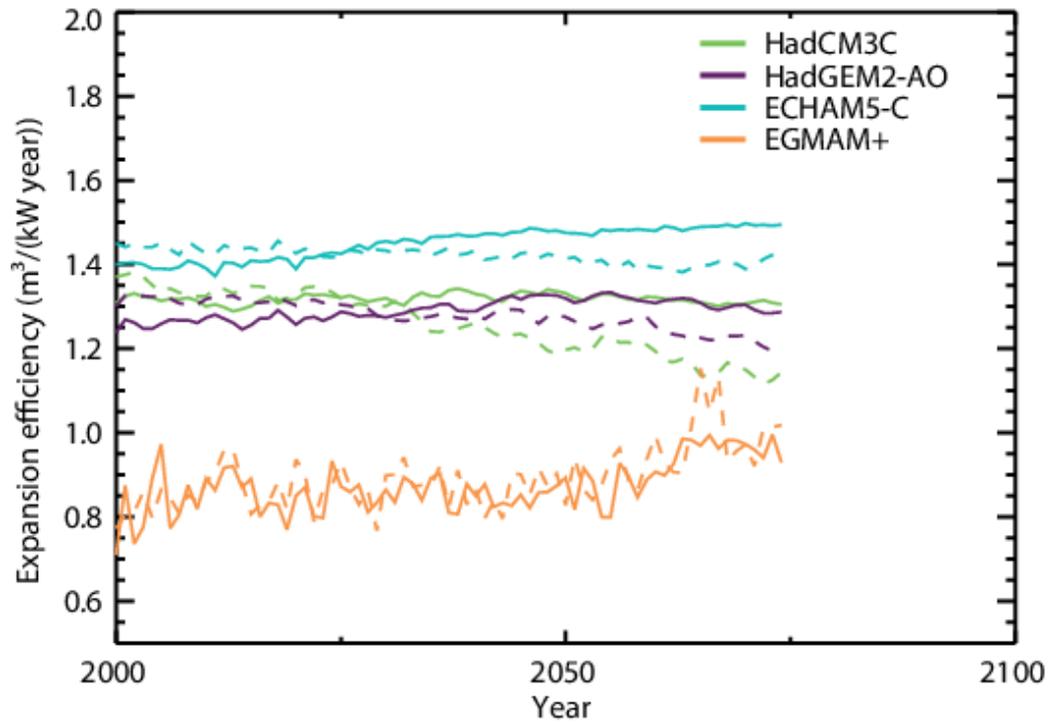
1168 b): 11-year running trend of global mean steric sea level rise for A1B and E1 [mm/yr].

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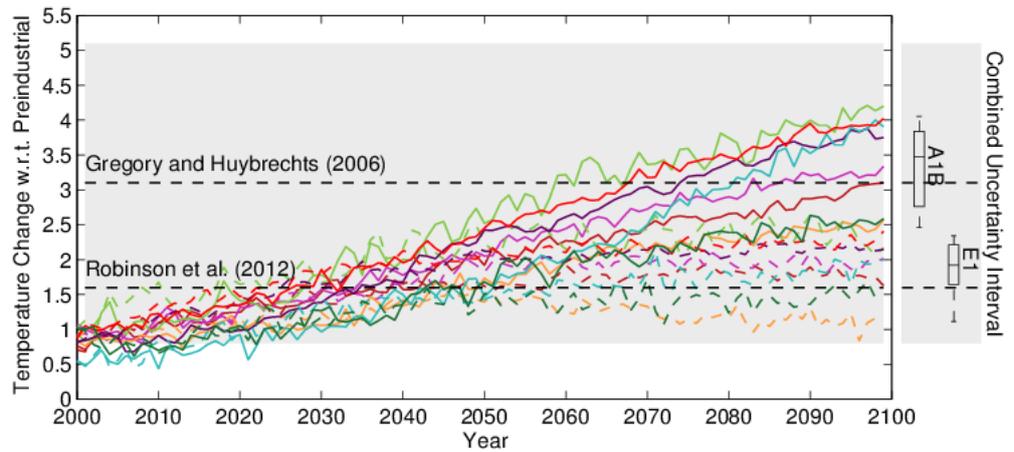


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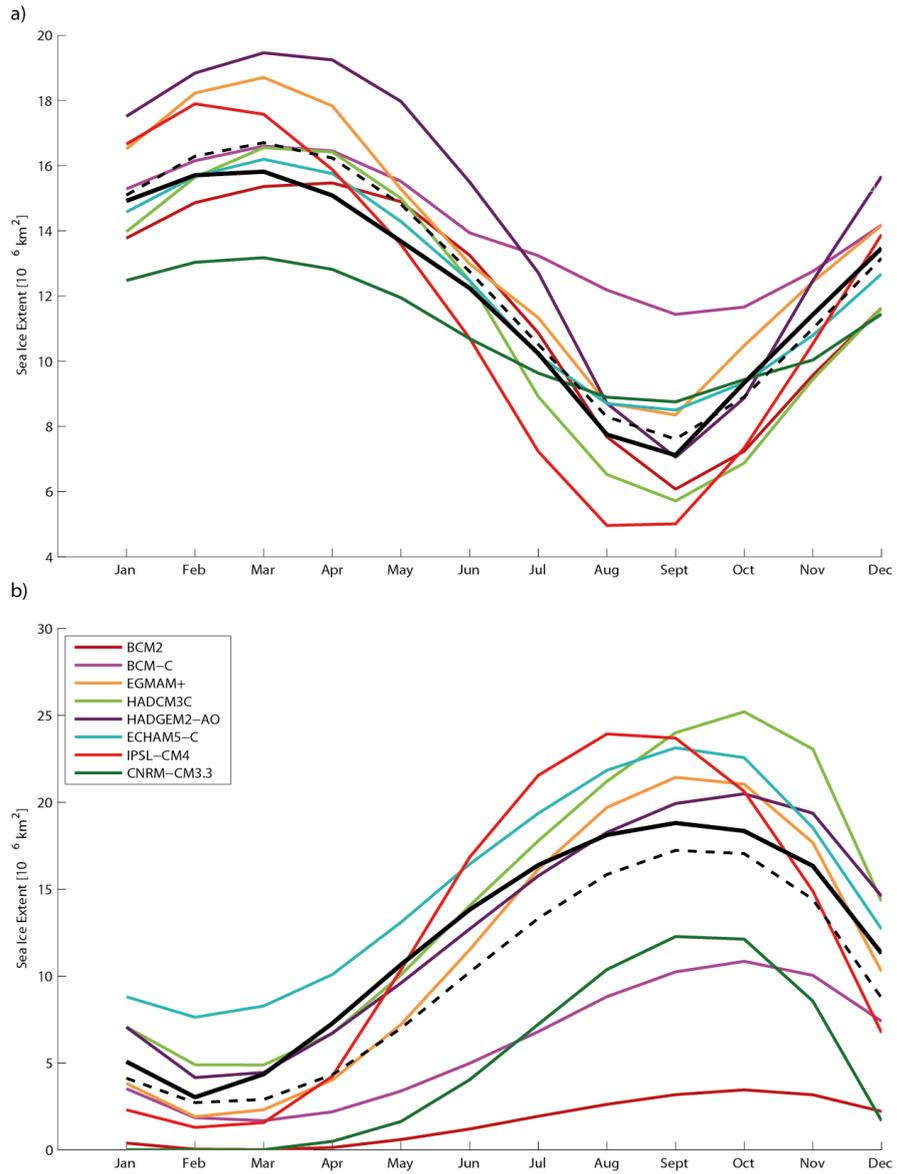
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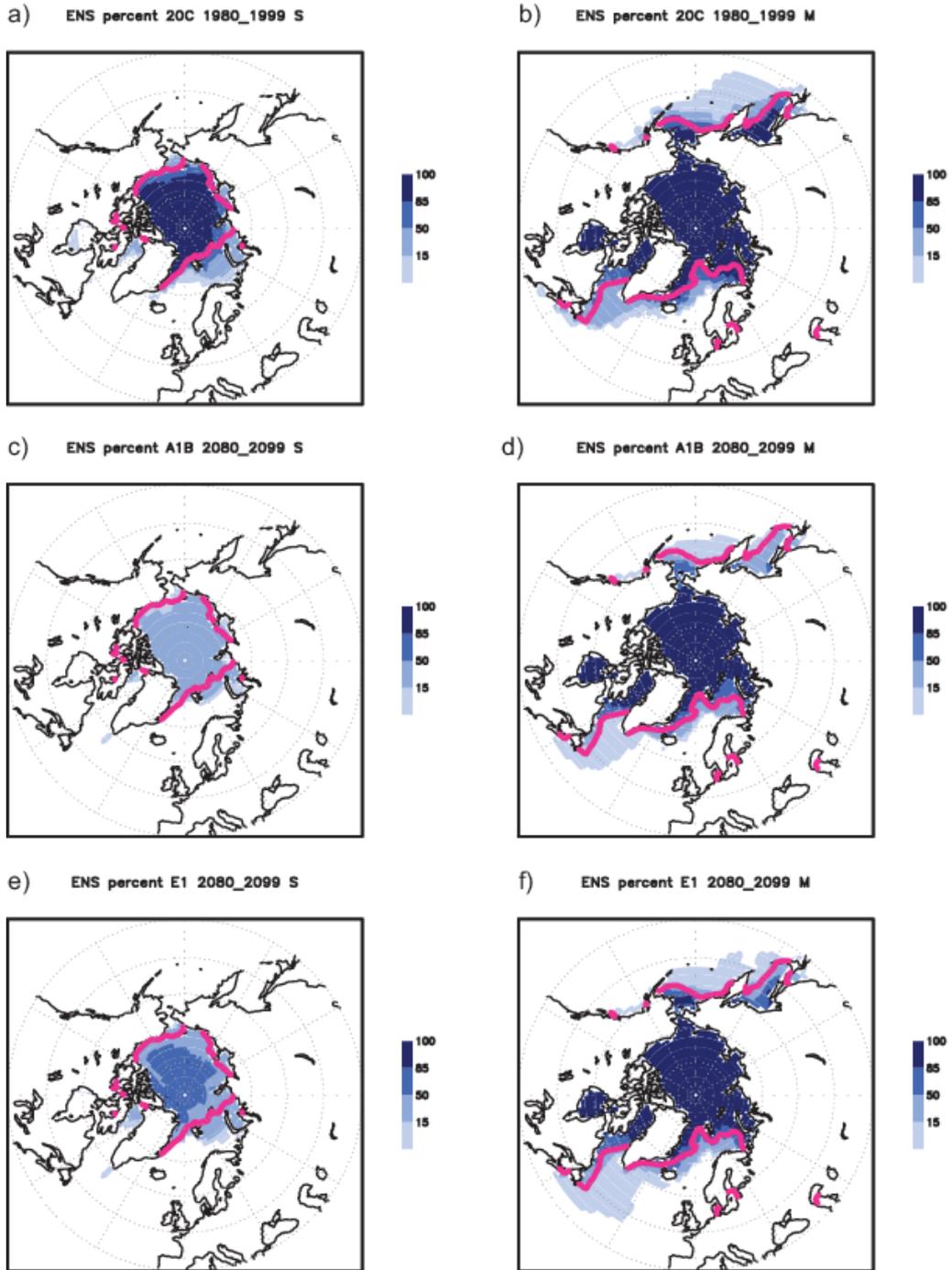
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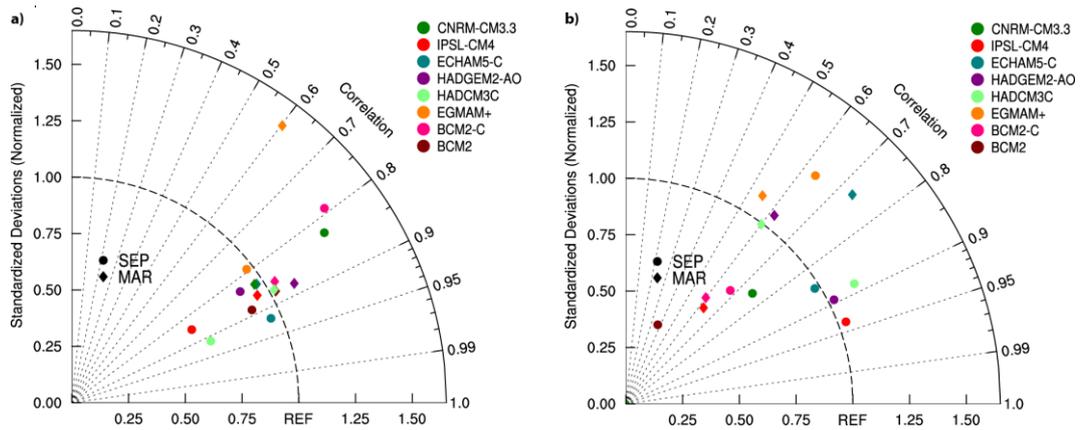


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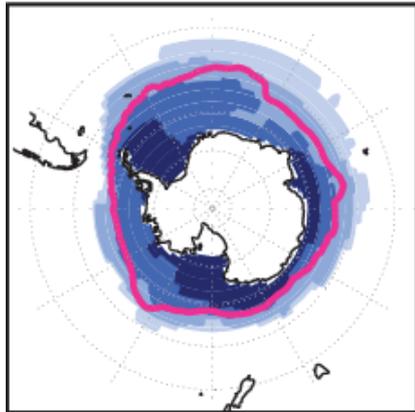
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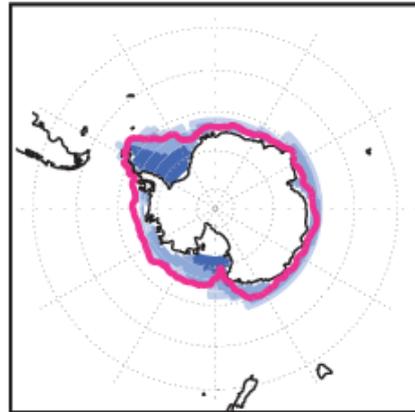
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a) ENS percent 20C 1980\_1999 S



b) ENS percent 20C 1980\_1999 M

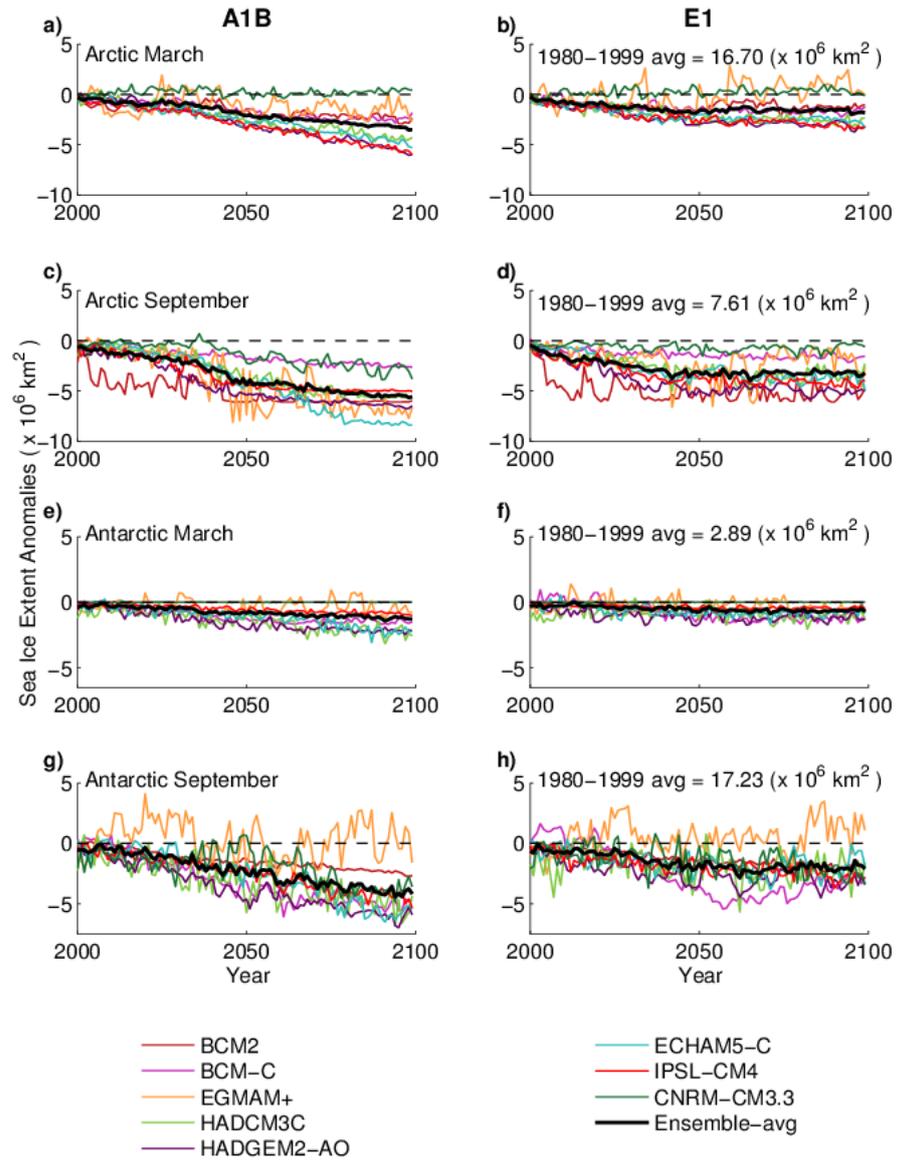


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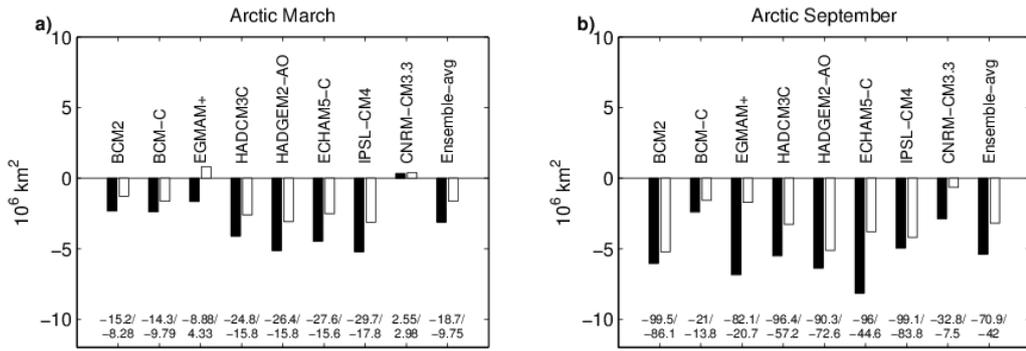
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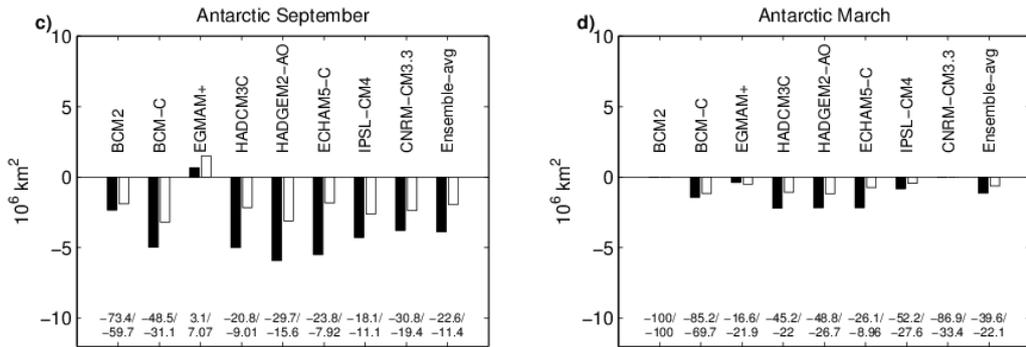


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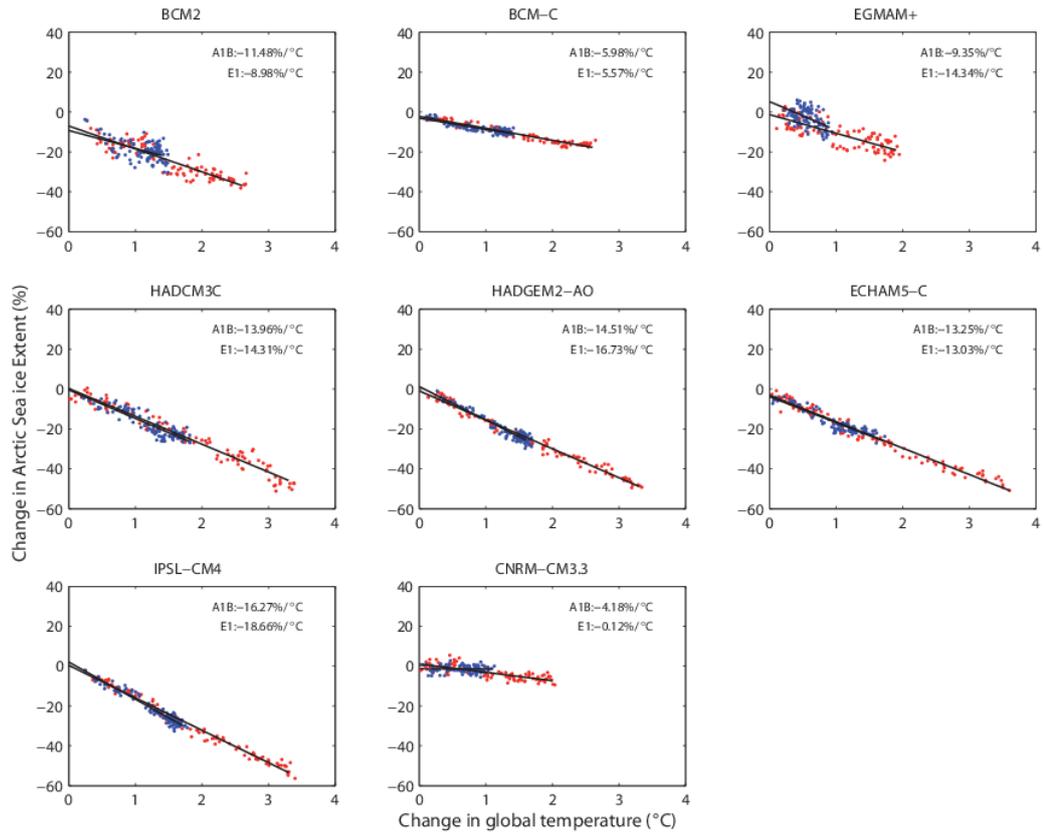
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